

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of )  
JERALD L. BAUGHMAN )  
Serial No: TBA )  
Filed: Concurrently Herewith )  
For: **MOUThPIECE DEvICES AND METhODS TO ALLOW UV WHITENING OF TEETH** )

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents  
and Trademarks  
Washington DC 20231

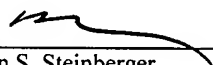
Sir:

Pursuant to the requirements of 37 CFR 1.97 and 1.98, Applicant hereby requests that the references listed in the attached form PTO-1449 be considered and made of record in the above-identified application.

Favorable consideration of the application at an early date is respectfully solicited.

Respectfully submitted,

By: \_\_\_\_\_

  
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Date: \_\_\_\_\_

9/23/03

**US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

APPLICANT: **JERALD L. BAUGHMAN**  
FOR: **MOUTHPIECE DEVICES AND METHODS TO ALLOW UV WHITENING OF TEETH**

**LIST OF ART CITED BY APPLICANT**

**U.S. PATENT DOCUMENTS**

	DATE	DOCUMENT NO.	NAME	CLASS	SUBCLASS
AA	6/30/53	2,643,652	Catheart, et al.	128	136
AB	10/30/62	3,060,935	Ridden, et al.	128	260
AC	3/15/83	4,376,628	Aardse	433	80
AD	7/31/90	4,944,313	Katz, et al.	128	859
AE	11/24/92	5,165,424	Silverman	128	861
AF	7/8/97	5,645,428	Yarborough	433	215
AG	8/24/99	5,941,247	Keane	128	848
AH	9/28/99	5,957,689	Wagner	433	215
AI	3/26/02	6,361,320 B2	Yarborough	433	215
AJ	5/7/02	6,382,979 B2	Lindquist	433	215
AK	7/9/02	6,416,319 B1	Cipolla	433	29
AL	12/24/02	6,497,575 B2	Zavitsanos, et al.	433	215
AK	2/20/03	2003/0036037 A1	Zavitsanos, et al.	433	215

**PATENT APPLICATION PUBLICATIONS**

NONE

**FOREIGN ART**

NONE

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

- |     |   |
|-----|---|
| OA1 | Code of Federal Regulations, Title 21, Volume 8, Section 1040.20. Performance Standards for Light-Emitting Products, Revised April 1, 2003. |
| OA2 | Allen, Jeannie. Ultraviolet Radiation: How It Affects Life on Earth Observatory, NASA, September 6, 2001.                                   |